

# UTILITY PATENT APPLICATION TRANSMISSION

Our Docket No.:  
A-71462/RFT/THR

Date:  
July 8, 2003

Inventor: Dennis M. Brown

Title: Naphthalimide Synthesis Including Amonafide  
Synthesis and Pharmaceutical Preparations Thereof

ADDRESS TO: Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

41712 U.S. PTO  
10/616178  
07/08/03

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated and is addressed to the Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Express Mail No.: EV 298966232 US  
Date of Deposit: July 8, 2003

Signed: Darryl Kriner

## APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 23
  - ☒ Descriptive title of the invention
  - ☒ Cross References to Related Applications
  - ☐ Statement Regarding Fed. Sponsored R&D
  - ☐ Field of the Invention
  - ☒ Background of the Invention
  - ☒ Summary of the Invention
  - ☒ Detailed Description of the Figures
  - ☒ Detailed Description of the Invention
  - ☒ Claims (22 total)
  - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 4
5. ☐ Oath or Declaration Total Pages:
  - a. ☐ Newly Executed (facsimile)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
    - 1. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement  
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard  
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)  
(if foreign priority is claimed)
18. ☐ Other

6. ☒ Application Data Sheet (37 C.F.R. 1.76)

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) claiming benefit of prior Application Nos.:  
 For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

## CORRESPONDENCE ADDRESS

ATTY NAME Richard F. Treccarini	Reg. No. 31,801	SIGNATURE: <i>[Signature]</i>	DATE: July 8, 2003
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center Suite 3400 San Francisco, California 94111-4187		Customer Number: 32940
TELEPHONE	(415) 781-1989		
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# APPLICATION FEE TRANSMITTAL SHEET (FY 2003)

Complete if Known

Application No.	
Filing Date	July 8, 2003
First Named Inventor	Dennis M. Brown
Group Art Unit	
Examiner Name	
Atty. Docket Number	A-71462/RFT/THR

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
Deposit Account No.: 50-2319 DEFICIENCIES ONLY  
Deposit Account Name: DORSEY & WHITNEY LLP

- ☐ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17  
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description
160	80	<input type="checkbox"/> Provisional Filing Fee
750	375	<input checked="" type="checkbox"/> Utility Filing Fee
330	165	<input type="checkbox"/> Design Filing Fee
750	375	<input type="checkbox"/> Reissue Filing Fee

Subtotal (1) \$375.00

### 2. EXTRA\* CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	22	-	20	= 2	x \$9.00 = \$18.00
Indep.	10	-	3	= 7	x \$42 = -294.00-
Multiple Dependent Claims				= 0	x \$140 = -0-

Subtotal (2) \$312.00

### \*Calculation of Extra Claim Fees

Large Entity Fee	Small Entity Fee	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent Claim
84	42	Reissue independent claims over original patent
18	9	Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	
110	55	Extension for reply within first month	
410	205	Extension for reply within second month	
930	465	Extension for reply within third month	
1,450	725	Extension for reply within fourth month	
1,970	985	Extension for reply within fifth month	
750	375	Submission After Final 1.129	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
110	55	Terminal Disclaimer Fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
1,300	650	Utility/Reissue Issue Fee (including advance copies)	
470	235	Design Issue Fee (inc. advance copies)	
750	375	Request for Continued Examination (RCE)	
300	300	Publication fee for early, voluntary, or normal publication	
300	300	Publication fee for re-publication	
110	55	Petition to Revive - unavoidable	
1,300	650	Petition to Revive - unintentional	

OTHER FEE (specify):

Subtotal (3)

-0-

Total Amount of Payment:

\$687.00

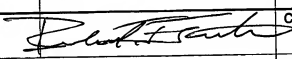
## Submitted by:

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Signature:

Date: JULY 8, 2003